

PTO/SB/21 (02-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>Total Number of Pages in This Submission</b>	5+	<b>Application Number</b>	10/698,748
		<b>Filing Date</b>	October 31, 2003
		<b>First Named Inventor</b>	Charles H. Dewey
		<b>Art Unit</b>	3672
		<b>Examiner Name</b>	Not Assigned
		<b>Attorney Docket Number</b>	1030-23401

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Patent Documents and Non-Patent Documents Forms with 10 cited references Postcard Acknowledgement
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Shannon W. Bates Conley Rose, P.c.		
<b>Signature</b>	<i>Shannon Warren Bates</i>		
<b>Date</b>	March <u>4</u> , 2004		

**CERTIFICATE OF TRANSMISSION/MAILING**

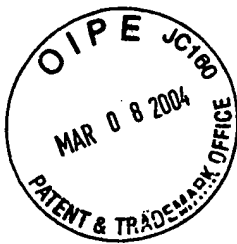
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<b>Typed or printed name</b>	Clare Jackson		
<b>Signature</b>	<i>Clare Jackson</i>	<b>Date</b>	March <u>4</u> , 2004

This collection of information is required by 37 CFR 1.57. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty Docket: 1030-23401  
(02-RB 25(1))



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Charles H. Dewey	§	
		§	Group Art Unit: 3672
Serial No.:	10/698,748	§	
		§	Examiner: Not Assigned
Filed:	October 31, 2003	§	
		§	Confirmation No.: 3168
For:	DOWNHOLE MOTOR LOCKING	§	
	ASSEMBLY AND METHOD		

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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Clare Jackson Mar. 4 2004  
Signature Date of Deposit

**INFORMATION DISCLOSURE STATEMENT**

Commissioner:

This Information Disclosure Statement comprises a list of pertinent art of which Applicant is aware. A copy of each patent and each publication listed on the attached Patent Documents form is enclosed herewith.

The submission of this Information Disclosure Statement is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

Date: MARCH 4, 2004

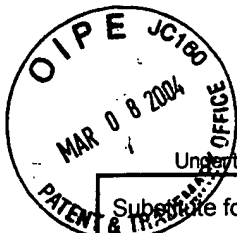
Respectfully submitted,

Shannon W. Bates

Shannon W. Bates  
Reg. No. 47,412  
CONLEY ROSE, P.C.  
5700 Granite Parkway, Suite 330  
Plano, Texas 75024

(972) 731-2288

ATTORNEY FOR APPLICANT



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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/698,748
				Filing Date	October 31, 2003
				First Named Inventor	Charles H. Dewey
				Art Unit	3672
				Examiner Name	Not Assigned
Sheet	1	of	1	Attorney Docket Number	1030-23401

U.S. PATENT DOCUMENTS					
Examiner's Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US 3,896,667	7/29/1975	Jeter	
	AB	US- 4,705,117	11/10/1987	Warren et al	
	AC	US- 5,911,275	6/15/1999	McGarian et al	
	AD	US- 6,454,007	9/24/2002	Bailey	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		County Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
✓	AE	WO 02/02903 A1	01/10/02	Weatherford/Lamb, Inc.		
✓	AF	GB 2,248,792 A	04/22/92	The Red Baron Limited		
✓	AG	GB 2,353, 332 A	02/21/01	Smith International, Inc.		
✓	AH	GB 2,378,197 A	02/05/03	Smith International, Inc.		
✓	AI	FR 2332412 A	06/17/77	Societe Generale de Constructions Electriques et Mecaniques Alsthom		

Examiner Signature		Date Considered	
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\* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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